

08/17/99

1c682 U.S. PTO

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000 OMB 0681-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)).

Attorney Docket No. 476-1830

First Inventor or Application Identifier Unit

Title Packet Communications System And Method

Express Mail Label No. EL 350 751 371 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 12]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages ]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Small Entity Statement(s)
  - ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
  - ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_
- Prior application information: Examiner Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

|         |  |           |                |
|---------|--|-----------|----------------|
| Name    | William M. Lee, Jr.                            |           |                |
|         | Lee, Mann, Smith, McWilliams, Sweeney & Ohlson |           |                |
| Address | P.O. Box 2786                                  |           |                |
| City    | Chicago  | State     | Illinois       |
|         |  | Zip Code  | 60690-2786     |
| Country | USA  | Telephone | (312) 368-1300 |
|         |  | Fax       | (312) 368-0034 |

|                   |                            |                                   |         |
|-------------------|----------------------------|-----------------------------------|---------|
| Name (Print/Type) | William M. Lee, Jr.        | Registration No. (Attorney/Agent) | 26,935  |
| Signature         | <i>William M. Lee, Jr.</i> | Date                              | 8/17/99 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

BY

**Brian M. Unitt**  
**2 Marsh Barns, Bishop's Stortford**  
**Herts CM23 2QN**  
**United Kingdom**

and

**Michael F. Grant**  
**43 the Ridings**  
**Bishop's Stortford**  
**Herts CM23 4EJ**  
**United Kingdom**

FOR

**PACKET COMMUNICATIONS SYSTEM AND METHOD**

**PACKET COMMUNICATIONS SYSTEM AND METHOD****FIELD OF THE INVENTION**

This invention relates to a method and apparatus for the transmission of digital voice and data traffic over a communications path in a telecommunications system or network.

**5 BACKGROUND OF THE INVENTION**

A recent development in the field of telephony has been the introduction of digital subscriber line (DSL) techniques whereby data traffic is transported over a subscriber loop to a customer. The subscriber line is coupled to a DSL line card in the local exchange whereby the DSL traffic is coupled to the subscriber line.

- 10 Typically, this traffic is transported at least in the downstream direction to the customer in a discrete multi-tone (DMT) format in which the information is spread over a number of carriers.

There is increasing interest in Internet access and a continual demand to  
15 increase speed of delivery of Internet traffic to end users. For residential users it is impractical to install a large scale fibre network to allow high speed access to individual homes. Therefore there is a strong financial incentive to reuse the existing copper pair connections between the home and a central office or local exchange rather than to incur the expense of installation of new customer  
20 connections. Various systems have been devised to support higher data transmission speeds over these pairs. In particular, ADSL (asymmetric digital subscriber line) and other similar systems are under development and are currently being defined in the standards forum. A feature of ADSL is that the transmission rates in the upstream and downstream directions are asymmetric.

- 25 The data rate in the downstream direction from the line card towards the customer is much higher than the upstream rate, which is typically only 150 - 200 kbit/s.

There is also growing interest in transmitting conventional POTS voice traffic using packet oriented data networks. A natural progression is to use the ADSL connection to provide one or more voice connections to the customer, either in addition to or instead of the conventional baseband POTS connection. Additional

5 POTS channels delivered in this way are known as 'derived POTS' channels. Preferably, the voice traffic is multiplexed with data traffic using the same ADSL link, this being referred to as voice over DSL. Attempts to introduce such a service have not however been entirely successful as it has been that the high

10 quality of service requirements for voice traffic are difficult to achieve with current techniques.

Carrier class voice requires certain quality criteria to be met. In particular, the end to end delay experienced by the voice signal must be kept to a minimum to avoid echo and, in extreme cases, delays large enough to disrupt two way

15 conversation.

Conventionally, IP traffic streams are multiplexed by interleaving complete packets. Since IP data packets can be quite long (an Ethernet packet could for example be up to 1500 bytes in length) a high priority voice packet might well

20 have to wait a significant time before a transmission opportunity arises. This is a particular problem when the data transmission rate is low, such as in the upstream direction on an ADSL link. This low transmission rate is a consequence of the serial nature and the limited bandwidth of the upstream path. At a typical upstream rate of 200 kbit/s, a 1500 byte packet takes 60 msec to

25 transmit. Thus, a voice packet might be delayed by up to 60 msec. This is a major source of delay in a packet voice network and is unacceptable for high quality voice traffic.

There is a proposal to the IETF to use a multiplexing scheme whereby long IP

30 packets can be interrupted by short, high priority packets such as voice. This interruption is achieved by fooling the HDLC layer, which is a transmission layer below IP, that the IP data packet has finished, and then resuming the original data packet transmission after the high priority packet has been sent. However, this system is specific to links using HDLC and requires modifications to the

HDLC protocol layer at each end of the link. It is thus not readily adaptable to general ADSL use.

- 5 A second potential source of delay arises from the time taken to collect enough digitised voice samples to fill a voice packet. Conventionally, when using standard telephony pulse code modulation (64 kbit/s), a voice sample is produced every 125 microseconds, each sample being represented by one byte. To maintain transmission efficiency, it is desirable that the payload of a packet should be relatively long compared to its header which conveys essential addressing information but otherwise incorporates no useful revenue earning data. In a system based on Internet protocol (IP), voice traffic would normally be sent using UDP and RTP protocol layers above IP. Each layer requires its own header which contains information related to the corresponding layer. The total length of such a header can be at least 40 bytes. Thus, for 75% efficiency (say) 10 the payload length should be 120 bytes. Using 64 kbit/s PCM this implies a packetisation time of 15 msec. This is again undesirable for efficient voice transport. 15

#### **OBJECT OF THE INVENTION**

- 20 An object of the invention is to minimise or to overcome the above disadvantages.

- A further object of the invention is the provision of an improved method and apparatus for the transmission over a subscriber loop of streams of packet traffic 25 having differing transmission latency requirements.

- A further object of the invention is the provision of a method and apparatus for improving bandwidth efficiency of packet switched traffic in a network.
- 30 A further object of the invention is to provide an improved voice over IP service to a telephone subscriber.

## SUMMARY OF THE INVENTION

According to a first aspect of the invention, there is provided a method of transporting packet voice and data traffic over a communication path, the method comprising generating a first packet stream carrying the voice traffic, generating  
5 a second packet stream carrying the data traffic, segmenting said first and second packet streams into respective first and second ATM cell streams, and multiplexing said first and second ATM cell streams together for transport over said path.

- 10 According to another aspect of the invention, there is provided a method of transporting first and second packet streams having respective first and second priority levels over a communications path, the method comprising segmenting said first and second packet streams into respective first and second ATM cell streams, and multiplexing said first and second ATM cell streams together for  
15 transport over said path.

According to a further aspect of the invention, there is provided an arrangement for transporting a first packet stream comprising digitally encoded voice traffic and a second packet stream comprising data traffic over a communication path,  
20 the arrangement comprising means for segmenting said first and second packet streams into respective first and second ATM cell streams, and multiplexing means for multiplexing said first and second ATM cell streams together for transport over said path.

- 25 According to a further aspect of the invention, there is provided an subscriber station for providing digital communication with an access multiplexer over a subscriber loop, the subscriber station incorporating means for generating a first packet stream comprising digitally encoded voice traffic and a second packet stream comprising data traffic, means for segmenting said first and second  
30 packet streams into respective first and second ATM cell streams, and multiplexing means for multiplexing said first and second ATM cell streams together for transport to the access multiplexer over said subscriber loop.

Instead of conventional multiplexing at the IP layer, two separate IP packet streams are provided, one stream carrying delay insensitive data traffic and the other transporting delay sensitive traffic, in particular, packetised voice. The system segments each IP stream (i.e. those carrying voice and data) separately to produce two ATM streams which are then multiplexed using an ATM multiplexer.

Conventionally, ADSL links to individual customers are terminated on the network side in a DSL access multiplexer (DSLAM) where signals from many customers are multiplexed together. From this point a high speed link is used to connect to other network equipment. Since, employing the techniques described herein, the link speed becomes far less significant in terms of queuing delay, the ATM layer can consequently be terminated in the DSLAM and conventional IP multiplexing can be used through the remainder of the network if desired.

Advantageously, header compression can be employed to further improve the efficiency of the voice channel.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Embodiments of the invention will now be described with reference to the accompanying drawings in which:-

Figure 1 is a general view of a network arrangement according to a preferred embodiment of the invention for delivering data and voice over IP services to subscribers;

Figure 2 illustrates the upstream and downstream spectrum of an ADSL subscriber loop; and

Figure 3 shows a typical subscriber installation in the network of figure 1.

### **DESCRIPTION OF PREFERRED EMBODIMENT**

Referring first to figure 1, this illustrates in schematic form a network arrangement providing digital subscriber line access to subscribers. In the

exemplary network of figure 1, subscribers 11 are provided with asymmetric digital subscriber line (ADSL) network access for both voice and data over the subscriber loop or twisted copper pair 12. It will however be understood that the techniques described by way of example below are in no way limited to this particular digital subscriber line protocol. Each DSL subscriber or customer is served from an IP network 13 via a respective line card 14 disposed at an access multiplexer 15 to which the subscriber loop 12 is connected. The arrangement provides the subscriber with Internet data and voice over IP services. It will be appreciated that although, for clarity, only one subscriber connection to the access multiplexer is shown, this device will of course serve a large number of subscribers.

Data and voice traffic are transported over the subscriber loop 12 by the use of discrete multi-tone (DMT) carriers. The loop may also, if desired, carry a traditional POTS service to the subscriber. A typical spectrum is illustrated in figure 2. As can be seen from figure 2, the voice band of the spectrum is allocated to POTS, and a further band from about 25 kHz to 138 kHz is allocated to upstream ADSL data and voice. The remainder of the spectrum up to about 1.1 MHz is allocated to downstream ADSL.

As shown in figure 1, the IP network 13 incorporates a number of nodes 16 and one or more gateways 17, 18 providing access to a PSTN 19 and a data network (not shown) respectively. Further gateways (not shown) may provide access e.g. to video services.

Referring now to figure 3, this shows in schematic form the construction of the subscriber installation and illustrates in particular the upstream transmission process. For clarity, the downstream transmission path has been omitted from figure 3. As shown in figure 3, the subscriber installation incorporates a voice terminal 21 and a data terminal 22. A video terminal 23 may also be provided. Upstream data traffic from the data terminal 22 is in the form of IP packets which will, in general, be of variable length and each incorporating a header containing information relating to the routing of the packet and, optionally, priority information. Upstream traffic from the voice terminal 21 is digitised and



packetised into fixed length IP packets via voice encoding and packetising device 25. The analogue voice signal from the voice terminal is sampled and each sample is encoded as a digital code. The voice encoding device 25 uses standard telephony pulse code modulation (64 kbit/s) and generates fixed length

5 IP packets each incorporating a payload comprising the digitised voice and a header containing priority and destination information. The construction of voice encoding and packetising equipment will be well understood by those skilled in the art.

- 10 The data and voice IP packet streams are each fed to a respective ATM segmentation interface 27, 28 where the data and voice IP packet streams are packed into respective first and second ATM cell streams. Preferably ATM Adaptation Layer 5 (AAL5) is used for this purpose. It will be appreciated that, as a result of this process, long data IP packets will be spread over a number of
- 15 consecutive ATM cells.

The two ATM cell streams carrying the data and voice traffic are fed via respective FIFO buffers 29, 30 to an ATM multiplexer 31 whereby the two packet streams are multiplexed for upstream transmission over the subscriber loop to

20 the access multiplexer 13 (figure 1). The buffers will normally be incorporated in the respective ATM interfaces. The ATM multiplexer may accept cells alternately from the two cell streams, or priority may be given to voice cells when such cells are queued in the buffer 29 for dispatch. By segmenting the IP packets prior to multiplexing for transport over the subscriber loop, the problem of delay of voice

25 packets by long data packets is overcome as the segmentation provides a means for a voice packet to "interrupt" a data packet.

At the access multiplexer 13, the data and voice ATM cells received over the subscriber loop are de-multiplexed and are re-assembled into respective IP

30 packets for transport over a high speed link to the IP network 15, the header information being employed for the routing of each packet across the IP network. it will be understood that the high speed link can carry traffic from all the line cards in the access multiplexer.

In an alternative embodiment, the ATM data and voice cell streams are not re-assembled at the access multiplexer 13, but are launched directly into an ATM network 32.

- 5 In a further advantageous embodiment, header compression may be employed for further enhancing the efficiency of voice transport. It will be appreciated that data packets may be sent to any of a very large number of destinations and that it is therefore necessary to provide an appropriately sized header field, typically forty bytes, that contains a sufficient number of bytes to convey this information
- 10 to the network routers. However, as discussed above, the need to incorporate a large header field in a voice packet can limit the efficiency of the voice transmission which is accompanied by a relatively large volume of header information. Applicants have appreciated that although a large header field is required for a data packet which may be routed anywhere, voice packets will be
- 15 routed in the IP network to only a small number of destinations, typically to the voice gateways 17. Thus, the conventional forty byte data header may be reduced to as few as 2 bytes. Algorithms for this compression are known within the IETF (Internet Engineering task Force).
- 20 It will be appreciated that, as header compression requires co-operation between all routing nodes through which the header compressed traffic passes, it is desirable to limit the extent of the compressed portion of the network. Generally, compressed header voice packets from different customers will be directed at the same destination, namely a gateway device for interfacing with other networks
- 25 such as a PSTN. In some cases, more than one destination gateway may be involved, but in a practical network, the number of gateways required for high priority or voice packets will be small. In either case, compressed header packets from several customers will be directed at the same destination. To avoid the need for all network routing elements to implement header
- 30 compression algorithms, compressed header packets directed at a particular gateway can be embedded in a conventional IP packet for onward transmission as a common channel. Since many payloads are now aggregated, the single full IP header no longer represents a significant overhead.



## CLAIMS:

1. A method of transporting packet voice and data traffic over a communication path, the method comprising generating a first packet stream  
5 carrying the voice traffic, generating a second packet stream carrying the data traffic, segmenting said first and second packet streams into respective first and second ATM cell streams, and multiplexing said first and second ATM cell streams together for transport over said path.
- 10 2. A method as claimed in claim 1, wherein said communication path comprises a telephone subscriber loop.
3. A method as claimed in claim 2, wherein said subscriber loop carries a asymmetric digital subscriber line (ADSL) service.
- 15 4. A method as claimed in claim 3, wherein said first and second cell streams are adaptation layer five (AAL5) cell streams.
5. A method as claimed in claim 4, wherein said first and second cell  
20 streams are re-assembled into respective voice and data packets for transport over an IP network.
6. A method as claimed in claim 5, wherein said voice packets are routed within the IP network to one or more gateways providing access to a PSTN.
- 25 7. A method as claimed in claim 6, wherein each said voice packet is provided with a compressed header.
8. A method as claimed in claim 7, wherein compressed header packets  
30 directed at a common gateway are embedded in an IP packet having a full header.
9. A method as claimed in claim 7, wherein compressed header packets directed at a common gateway are embedded in a single ATM virtual circuit.

09375710.001749

10. A method as claimed in claim 4, wherein said first and second ATM cell streams are transported via an ATM network.

5 11 A method of transporting first and second packet streams having respective first and second priority levels over a communications path, the method comprising segmenting said first and second packet streams into respective first and second ATM cell streams, and multiplexing said first and second ATM cell streams together for transport over said path.

10

12. An arrangement for transporting a first packet stream comprising digitally encoded voice traffic and a second packet stream comprising data traffic over a communication path, the arrangement comprising means for segmenting said first and second packet streams into respective first and second ATM cell streams, and multiplexing means for multiplexing said first and second ATM cell streams together for transport over said path.

15

13. An arrangement as claimed in claim 12, wherein said communications path comprises a telephone subscriber loop.

20

14. An arrangement as claimed in claim 13, wherein said first and second ATM cell streams are AAL5 cell streams.

15. A subscriber station for providing digital communication with an access multiplexer over a subscriber loop, the subscriber station incorporating means for generating a first packet stream comprising digitally encoded voice traffic and a second packet stream comprising data traffic, means for segmenting said first and second packet streams into respective first and second ATM cell streams, and multiplexing means for multiplexing said first and second ATM cell streams together for transport to the access multiplexer over said subscriber loop.

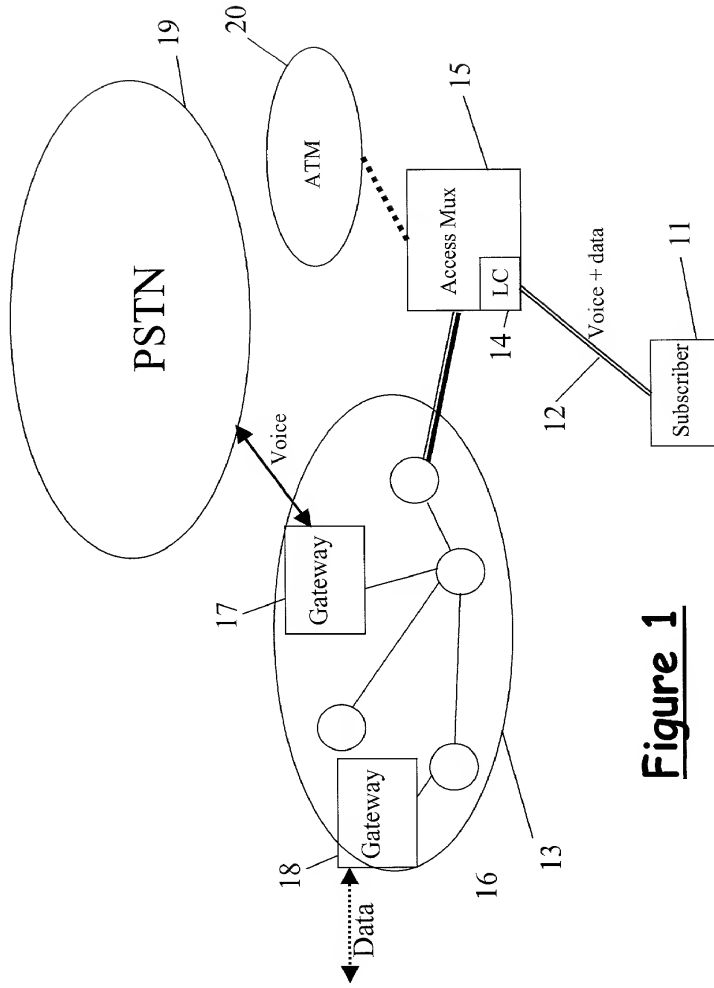
25  
30

## **ABSTRACT**

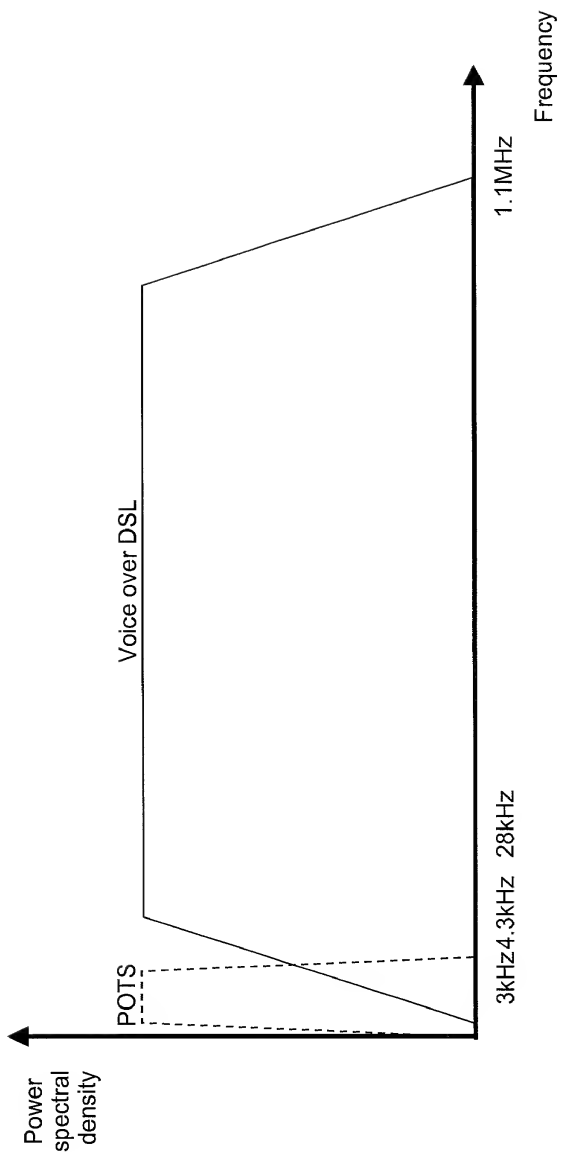
5

### **PACKET COMMUNICATIONS SYSTEM AND METHOD**

- 10 A digital subscriber line (DSL) arrangement provides data and voice over IP (VoIP) services over a subscriber loop. Instead of conventional multiplexing at the IP layer, two separate IP packet streams are provided, one stream carrying delay insensitive data traffic and the other transporting delay sensitive traffic, in particular, packetised voice. The system segments each IP stream (i.e. those carrying voice and data) separately to produce two ATM streams which are then multiplexed using an ATM multiplexer. This reduces the delays to voice traffic
- 15 resulting from queuing behind long data packets.

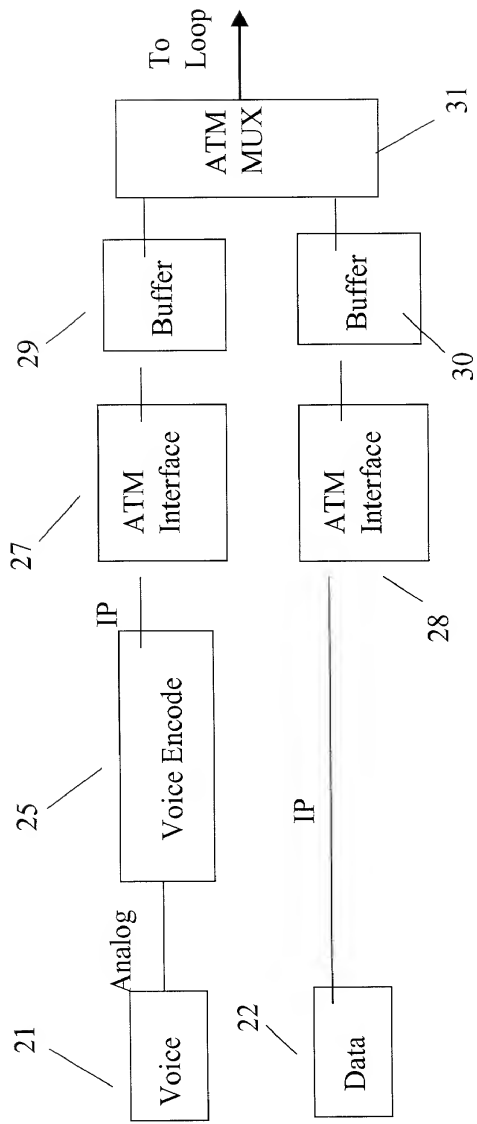


**Figure 1**



**Figure 2**





**Figure 3**